

15992 U.S. PTO

EXPRESS MAIL LABEL NO.: EL625996883US
ATTORNEY DOCKET NO.: A0407-001592A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application
of U. S. Patent No. 6,342,590

Applicants: Shigeo Morimoto et al.

Granted: January 29, 2002

Reissue Serial Number:
TBA

For: Erythromycin A Derivatives and
Method for Preparing Same

Group Art Unit:
TBA

Examiner:
TBA

17513 U.S. PTO
10/766747



012804

REISSUE PATENT APPLICATION TRANSMITTAL

**Mailstop Reissue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Application for Reissue of: **Utility Patent**

1. ☒ Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

Fee Payment

- ☐ Not enclosed. **NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.** This application is being filed under the provisions of 37 C.F.R. § 1.53(f). Applicants await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.
- ☒ A check in the amount of \$770.00 is enclosed.
- ☐ The Commissioner is hereby authorized to charge _____ to our Deposit Account 16-0633.
- ☒ Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 16-0633. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

2. ☐ Applicant claims small entity status
3. ☒ Specification and Claims in double column copy of patent format
- 8 Pages of specification including:
- 1 Page of claims
- 1 Page of abstract, title page, patent number and date of issue
- 0 Drawings;
- and
- 1 Page of Certificate of Correction
4. ☐ Drawing(s)
5. Reissue oath/Declaration and Power of Attorney (copy)
- ☒ Enclosed and executed by all inventors.
- ☐ Not enclosed. This application is being filed under 37 C.F.R. § 1.53(f). Applicants await notification from the Patent and Trademark Office of the time set for filing the Declaration.
6. ☒ Power of Attorney
7. Original U.S. Patent currently assigned? ☒ Yes ☐ No
(If Yes, Please check applicable box(es))
- ☒ Written Consent of all Assignees
- ☒ 37 C.F.R. § 3.73(b) Statement
- U.S. Patent No. 6,342,590 is assigned of record to **Taisho Pharmaceutical Company, Limited** and is recorded at Reel 4770, Frame 0623 recorded on 10 October, 1987.
8. ☐ CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table
9. ☐ Nucleotide and/or Amino Acid Sequence Submission
10. ☒ Statement of status and support for all changes to the claims
11. ☐ Original U.S. Patent for surrender
- ☐ Ribbonded Original Patent Grant

☐ Statement of Loss

12. ☒ Foreign Priority Claim

Certified Copies: ☐ is/are attached.

☐ will follow.

☒ were filed in the prior U.S. Application serial number
07/094,888

13. ☒ Information Disclosure Statement and PTO 1449

☒ Copies of IDS citations not included in original application:

U.S. Patent Nos. 4,331,803, 5,274,085, 4,668,776, 4,990,602, 4,672,109,
4,680,386, 5,302,705, 3,869,444, 4,349,545, 4,476,298, 4,496,717, 4,525,889,
4,740,502, and 4,833,236, and EP 0,080,819A1 and EP 0,194,833 A2.

14. ☐ English Translation of Reissue Oath/Declaration

15. ☒ Preliminary Amendment (included with statement of status and support for all
changes to the claims)

16. ☒ Return Receipt Postcard

17. Other: Not Applicable

18. Correspondence Address:

Attention: IP Docketing
Patterson, Belknap, Webb & Tyler, LLP
1133 Avenue of the Americas
New York, New York 10036

Customer Number or Bar Code Label: 23723

19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite
information below and in a preliminary amendment, or in an Application Data Sheet under 37
C.F.R. § 1.76:

☐ Continuation

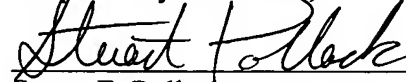
☐ Divisional

☐ Continuation-in part

Please accord this Reissue Application an application number and filing date.

Dated: January 28, 2004

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Stuart E. Pollack", written over a horizontal line.

Stuart E. Pollack

Reg. No. 43,862

Mailing Address:

Attention: IP Docketing

Patterson, Belknap, Webb & Tyler, LLP

1133 Avenue of the Americas

New York, New York 10036

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(212)336-2222 fax

Customer No. 23723

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REISSUE APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)

A0407-001592A

Claims as Filed – Part 1

	(1) Claims in Patent	(2) Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	(A) 1	(B) 5	**** 0 =	x \$ _____ =		or	x \$ _____ = 0
Independent claims (37 CFR 1.16(i))	(C) 1	(D) 1	• 0 =	x \$ _____ =			x \$ _____ = 0
Basic Fee (37 CFR 1.16(h))				\$ _____			\$ 770.00
Total Filing Fee				\$ _____		OR	\$ 770.00

Claims as Amended – Part 2

	(1) Claims Remaining After Amendment	(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	* =	x \$ _____ =		x \$ _____ =
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ _____ =		x \$ _____ =
Total Additional Fee				\$		OR	\$

* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

*** After any cancellation of claims.

**** If "A" is greater than 20, use (B – A); if "A" is 20 or less, use (B – 20).

***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

☐ Applicant claims small entity status. See 37 CFR 1.27.☐ Please charge Deposit Account Number _____ in the amount of _____.
A duplicate copy of this sheet is enclosed.☒ The Director is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account Number 16-0633.
A duplicate copy of this sheet is enclosed.☒ A check in the amount of \$ 770.00 to cover the filing/additional fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

January 28, 2004

Date

43,862

Registration Number, if applicable



Signature of Applicant, Attorney or Agent of Record

Stuart E. Pollack

Typed or printed name

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application)	
of U. S. Patent No. 6,342,590)	
)	
Applicants: Shigeo Morimoto et al.)	Group Art Unit:
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Granted: January 29, 2002)	
)	
Reissue Serial Number:)	
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For: Erythromycin A Derivatives and)	TBA
Method for Preparing Same)	

Mailstop Reissue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

January 28, 2004

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL625996883US

Date of Deposit: January 28, 2004

Sir:

I hereby certify that the following attached papers:

1. Reissue Patent Application Transmittal Form;
2. Reissue Application Fee Transmittal Form (**2 copies**);
3. Check for \$770.00;
4. Assent of Assignee to Reissue;
5. Certificate under 37 C.F.R. § 3.73(b);
6. Power of Attorney;
7. Claim for Foreign Priority;
8. Reissue Application (original patent, consisting of 8 pages including: 1 pages of claims, 1 page of abstract, 1 page of certificate of correction);
9. Reissue Declaration and Power of Attorney signed by all inventors;

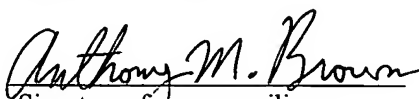
10. Statement of status and support for all changes to the claims and Preliminary Amendment
11. Information Disclosure Statement and Form PTO 1449 (2 sheets) (2 copies)
12. Copies of references referred to in PTO 1449 not included in original patent application, including U.S. Patent Nos. 4,331,803, 5,274,085, 4,668,776, 4,990,602, 4,672,109, 4,680,386, 5,302,705, 3,869,444, 4,349,545, 4,476,298, 4,496,717, 4,526,889, 4,740,502, and 4,833,236, and EP 0,080,819A1 and EP 0,194,833 A2.
13. Return Post Card.

are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mailstop Reissue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mailing Address:

Patterson, Belknap, Webb & Tyler LLP
1133 Avenue of the Americas
New York, New York 10036-6710
Tel: (212) 336-2000
Fax: (212) 336-2222


Stuart E. Pollack


(Signature of person mailing
paper(s) or fee)

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,342,590 B1
DATED : January 29, 2001
INVENTOR(S) : Morimoto et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 4,

Line 54, "41-positions" should read -- 4"-positions --

Column 12,

Line 54, after "and" insert -- preventing --

Signed and Sealed this

Second Day of July, 2002

Attest:

A handwritten signature in black ink, appearing to read "James E. Rogan", with a horizontal line drawn underneath it.

Attesting Officer

JAMES E. ROGAN
Director of the United States Patent and Trademark Office